

**VIET FIRST SECURITIES
CORPORATION**

**THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

No: 05/2025/CBTT- VFS
Ref: Information disclosure about the
Corporate Governance Report in 2024

Ho Chi Minh City, January 23, 2025

**INFORMATION DISCLOSURE ON THE STATE SECURITIES COMMISSION'S
PORTAL AND THE STOCK EXCHANGE PORTALS**

**To: The State Securities Commission;
Vietnam Stock Exchange;
Hanoi Stock Exchange.**

Viet First Securities Corporation – Member code: 094.

Address: 1st Floor, 117-119-121 Nguyen Du Street, Ben Thanh Ward, District 1, HCM City

Tel: (028) 6 255 6586 - Fax: (028) 6 255 6580

Individuals disclosing information: Ms. Nguyen Thi Thu Hang – Deputy General Director

Address: 1st Floor, 117-119-121 Nguyen Du Street, Ben Thanh Ward, District 1, HCM City

Tel: (028) 6 255 6586 - Fax: (028) 6 255 6580

Information disclosure type: Periodic Extraordinary Upon request

Content of the disclosed information (*):

The Corporate Governance Report in 2024.

This information was published on the company's website on January 23, 2025 as in the link:
<https://www.vfs.com.vn/en/danh-muc-bao-cao/corporate-governance>

We hereby declare to be responsible for the accuracy and completeness of the above information.

Attached document:

- Corporate Governance Report in 2024

**Person authorized to disclose information
DEPUTY GENERAL DIRECTOR**



NGUYỄN THỊ THU HẰNG

No: 01/2025/BCQT-VFS

Ho Chi Minh City, January 23, 2025.

**REPORT ON CORPORATE GOVERNANCE
(Year 2024)**

To: - The State Securities Commission;
- Vietnam Stock Exchange;
- Hanoi Stock Exchange.

- Name of company: **VIET FIRST SECURITIES CORPORATION**

- Address of head office: 1st Floor, 117-119-121 Nguyen Du Street, Ben Thanh Ward, District 1, HCM City

- Telephone: (028) 6 255 6586 Fax: (028) 6 255 6580 Email:

- Charter capital: 1,200,000,000,000 (In word: One billion and two hundred million dong)

- Stock symbol: VFS

- Governance model:

+ General Meeting of Shareholders (GMS), Board of Directors (BOD), General Director and Audit Committee (AC) under the Board of Directors.

- The implementation of internal audit: Implemented.

I. ACTIVITIES OF THE GENERAL MEETING OF SHAREHOLDERS

Information on meetings, resolutions and decisions of the General Meeting of Shareholders (including the resolutions of the General Meeting of Shareholders approved in the form of written comments):

No.	Resolution/Decision No.	Date	Content
01	01/2024/NQ-DHĐCĐ	20/04/2024	Resolution of the 2024 Annual General Meeting of Shareholders approved the following contents: - Report on the Board of Directors' activities in 2023 and the plan for 2024. - Report on the business operations of the Board of Management in 2023 and plans for 2024.

			<ul style="list-style-type: none"> - Report on the Board of Supervisors' activities in 2023. - Audited financial statements for 2023. - Report on the use of capital raised through the private issuance of shares to increase charter capital in 2023. - Remuneration of the Board of Directors and the Board of Supervisors in 2023 and remuneration plan in 2024; profit distribution plan in 2023 and forecast for 2024. - Plan to issue shares to pay dividends in 2023. - Selection of an auditing firm for fiscal year 2024. - Continue implementing the Plan to issue additional shares to existing shareholders. - Continue implementing activities related to the derivatives securities market. - Change the governance model of VFS. (Concurrently dismiss all members of the Board of Supervisors). - Amendments to the Company charter. - Change the Internal Policy on procedures, order of convening and voting at the General Meeting of Shareholders. - Change the Board of Directors' operating regulations. - Approval of the proposal to implement contracts and transactions between the Company and related parties and approve major contracts and transactions. - Approval of the dismissal and election of members of the Board of Directors for the 2024-2029 term.
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II. BOARD OF DIRECTORS:

1. Information about the members of the Board of Directors:

No.	The BOD s' members	Position (Independent members of the BOD, Non-executive members of the BOD)	The date becoming/ceasing to be the member of the BOD	
			Date of appointment (*)	Date of dismissal
1	Mr. Nguyen The Anh	Chairman of the BOD	10/04/2021	20/04/2024
2	Ms. Nghiem Phuong Nhi	Chairman of the BOD	20/04/2024	
3	Mr. Tran Anh Thang	Standing Vice Chairman of the BOD	10/04/2021	
4	Mr. Hoang The Hung	Member of the BOD	20/04/2024	
5	Ms. Nguyen Thi Lan	Independent Member of the BOD	20/04/2024	
6	Mr. Nguyen Xuan Diep	Independent Member of the BOD	20/04/2024	
7	Ms. Trinh Thi Lan	Member of the BOD	08/04/2023	20/04/2024
8	Mr. Nguyen Tai Vinh	Member of the BOD	08/04/2023	20/04/2024

9	Ms. Ta Hai Ha	Independent Member of the BOD	08/04/2023	20/04/2024
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(*) The date of appointment is the date on which the Board of Directors was elected by the General Meeting of Shareholders.

On April 20, 2024, Ms. Nghiem Phuong Nhi was elected as a member of the BOD for the 2024-2029 term according to the Resolution No. 01/2024/NQ-DHĐCĐ of the 2024 Annual General Meeting of Shareholders and was elected as Chairman of the BOD of Viet First Security Corporation from April 20th, 2024 according to the Resolution No. 04/2024/NQ/VFS-HĐQT.

On February 16, 2017, Mr. Tran Anh Thang was elected by the BOD of Viet First Securities Corporation as a member of the BOD for the 2014-2019 term and his election was officially approved at the 2017 Annual General Meeting of Shareholders. He assumed the position of Chairman of the BOD from March 29, 2017. According to the Resolution of the 2019 Annual General Meeting of Shareholders dated March 16, 2019 No. 01/2019/NQ/VFS-DHĐCĐ, Mr. Tran Anh Thang was re-elected as the member of the BOD for the 2019-2024 term. At the same time, he assumed the position of Chairman of the BOD according to the Resolution of the BOD No. 04/2019/NQ/VFS-HĐQT dated March 21, 2019. From April 10, 2021, Mr. Tran Anh Thang dismissed from the position of Chairman of the BOD of VFS and assumed the position of Standing Vice Chairman of the BOD according to the Resolution of the BOD No. 03/2021/NQ/VFS-HĐQT dated April 9, 2021. According to the Resolution of the 2024 Annual General Meeting of Shareholders No. 01/2024/NQ-DHĐCĐ dated April 20, 2024, Mr. Tran Anh Thang continued to be elected as a member of the BOD for the 2024-2029 term and as Standing Vice Chairman of the BOD according to the Resolution of the BOD No. 04/2024/NQ/VFS-HĐQT dated April 20, 2024.

Mr. Hoang The Hung was elected as a member of the BOD, Ms. Nguyen Thi Lan and Mr. Nguyen Xuan Diep were elected as independent members of the BOD for the 2024-2029 term according to the Resolution of the 2024 Annual General Meeting of Shareholders No. 01/2024/NQ/VFS-DHĐCĐ dated April 20, 2024.

2. Meetings of the Board of Directors:

In 2024, the BOD of Viet First Securities Corporation held 22 meetings:

No.	Board of Director' member	Number of meetings attended by the BOD	Attendance rate	Reasons for absence
1.	Mr. Nguyen The Anh	04/22	18.18%	Dismissed from April 20, 2024
2.	Ms. Nghiem Phuong Nhi	18/22	81.82%	Chairman of the BOD from April 20, 2024
3.	Mr. Tran Anh Thang	22/22	100%	
4.	Mr. Hoang The Hung	18/22	81.82%	Member of the BOD from April 20, 2024

5.	Ms. Nguyen Thi Lan	18/22	81.82%	Independent member of the BOD from April 20, 2024
6.	Mr. Nguyen Xuan Diep	18/22	81.82%	Independent member of the BOD from April 20, 2024
7.	Ms. Trinh Thi Lan	04/22	18.18%	Dismissed from April 20, 2024
8.	Mr. Nguyen Tai Vinh	04/22	18.18%	Dismissed from April 20, 2024
9.	Ms. Ta Hai Ha	04/22	18.18%	Dismissed from April 20, 2024

3. The supervisory activities of the Board of Directors over the Board of Management:

The BOD has supervised the activities of the Board of Management in implementing the Resolutions of the General Meeting of Shareholders, the BOD's resolutions and other work within the scope of its authority, through the following activities:

- Prepared the business plan for the fiscal year 2024 and submitted for approval at the 2024 Annual General Meeting of Shareholders.
- Implemented the plan to issue additional shares to existing shareholders in 2024.
- Chose an audit firm for the fiscal year 2024.
- The BOD supervised and inspected the human resource management of the Board of Management; approved new personnel appointments within the Board of Management in alignment with the Company's operations; supervised the implementation of welfare policies, rewards and support for employees.
- Supervised the Company's operations in compliance with the regulations of the Enterprise Law, the Company Charter and current legal regulations.
- The BOD monitored and understood the Company's operations, in order to provide practical guidance to support the Board of Management in fulfilling assigned responsibilities.
- The BOD assigned the General Director to lead the review for propose amendments and supplements to fulfill the VFS Regulations and procedures; led the research and proposal of a framework for decentralization of authority in accordance with the current status of VFS's operations.
- The BOD questioned major issues in the business operations of the Board of Management.

4. Activities of the Board of Directors' subcommittees (If any):

The BOD approved the Head of the Risk Management Subcommittee under the BOD to supervise the Company's risk management activities. On February 6, 2024, the BOD approved the dissolution of the Risk Management Subcommittee under the BOD according to Resolution No. 01.01/2024/NQ/VFS-HĐQT.

The Internal Audit Department performed its duties and responsibilities in accordance with the Company's Internal Audit Regulations.

The AC under the BOD was established according to Resolution No. 01/2024/NQ-ĐHĐCĐ of the 2024 Annual General Meeting of Shareholders dated April 20, 2024. The AC performs its duties and responsibilities in accordance with the law, charter and regulations of the AC.

5. Resolutions/Decisions of the Board of Directors in 2024:

No.	Resolution/Decision No.	Date	Content	Approval rate
01	01.01/2024/NQ/VFS-HĐQT	06/02/2024	Approval of the dissolution of the Risk Management Subcommittee under the BOD and promulgation of some internal regulations and rules of the Company	100%
02	01/2024/NQ/VFS-HĐQT	27/02/2024	Approval of the organization of the 2024 Annual General Meeting of Shareholders	100%
03	02/2024/NQ/VFS-HĐQT	06/03/2024	Approval of the implementation of the plan to issue additional shares to existing shareholders and the approval of the registration documents for public offering of shares	100%
04	03/2024/NQ/VFS-HĐQT	27/03/2024	Approval of the agenda and documents for the 2024 Annual General Meeting of Shareholders	100%
05	04/2024/NQ/VFS-HĐQT	20/04/2024	Election of the Chairman of the BOD, Standing Vice Chairman of the BOD and members of the AC under the BOD	100%
06	04.01/2024/NQ/VFS-HĐQT	26/04/2024	Issuance of the Operating Regulations of the AC	100%
07	05/2024/NQ/VFS-HĐQT	23/05/2024	Approval of the implementation of the plan to issue additional shares through the Resolution of the 2024 Annual General Meeting of Shareholders	100%

08	06/2024/NQ/VFS-HĐQT	21/06/2024	Approval of signing the 2024 audit contract with Southern Auditing and Accounting Financial Consulting Services Company Limited (AASCS)	100%
09	07/2024/NQ/VFS-HĐQT	24/06/2024	Approval of the system review and the fulfillment of the Company's operation	100%
10	08/2024/NQ/VFS-HĐQT	18/07/2024	Approval of the personnel structure for the Internal Audit Department	100%
11	09/2024/NQ/VFS-HĐQT	26/07/2024	The Board of Directors' regular meeting for the second quarter of 2024	100%
12	10/2024/NQ/VFS-HĐQT	22/08/2024	Approval of the adjustment to credit limits at An Binh Commercial Joint Stock Bank	100%
13	11/2024/NQ/VFS-HĐQT	22/08/2024	Approval of the adjustment to credit limits at Joint Stock Commercial Bank for Investment and Development of Vietnam	100%
14	12/2024/NQ/VFS-HĐQT	22/08/2024	Approval for depositing funds and purchasing certificates of deposit at credit institutions.	100%
15	13/2024/NQ/VFS-HĐQT	11/11/2024	Approval for the establishment of the Procurement and Expense Council	100%
16	14/2024/NQ/VFS-HĐQT	11/11/2024	Issuance of regulations on the organization and operation of the Procurement and Expense Council	100%
17	15/2024/NQ/VFS-HĐQT	11/11/2024	Approval of the establishment of the Investment and Capital Council	100%
18	16/2024/NQ/VFS-HĐQT	11/11/2024	Issuance of regulations on the organization and operation of the Investment and Capital Council	100%
19	19/2024/NQ/VFS-HĐQT	22/11/2024	Approval of the investment and technology development plan for the end of 2024 and 2025	100%

20	20/2024/NQ/VFS-HĐQT	22/11/2024	Issuance of the Decentralization System for the Board of Directors of Viet First Securities Corporation	100%
21	17/2024/NQ/VFS-HĐQT	29/11/2024	Approval of the criteria for selecting investors to distribute remaining undistributed shares from the additional issuance to existing shareholders	100%
22	18/2024/NQ/VFS-HĐQT	04/12/2024	Approval of the adjustment to credit limits at An Binh Commercial Joint Stock Bank	100%

III. BOARD OF SUPERVISORS/AUDIT COMMITTEE:

Pursuant to the Resolution of the 2024 Annual General Meeting of Shareholders No. 01/2024/NQ-DHĐCĐ issued on April 20, 2024 approving the change of the management organization model, and simultaneously dismissing all members of the Board of Supervisors and according to Resolution of the BOD No. 04/2024/NQ/VFS-HĐQT dated April 20, 2024 appointing members of the AC under the BOD of the Company.

PART 1: THE BOARD OF SUPERVISORS

1. Information about members of the Board of Supervisor:

No.	Members of the Board of Supervisors	Position	The date becoming/ceasing to be the member of the Board of Supervisors	Qualification
1	Mr. Tran Van Duong	Head of the Board of Supervisors	Dismissed on April 20, 2024	Bachelor of Economics
2	Mr. Truong Van Tien	Member of the Board of Supervisors	Dismissed on April 20, 2024	Bachelor of Economics
3	Mr. Hoang Minh Thang	Member of the Board of Supervisors	Dismissed on April 20, 2024	Bachelor of Business Administration

2. Meetings of Board of Supervisors:

From January 1, 2024 to April 20, 2024, the Board of Supervisors of Viet First Securities Corporation held a total of 01 meeting:

No.	Members of the Board of Supervisors	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
1	Mr. Tran Van Duong	01/01	100%	100%	
2	Mr. Truong Van Tien	01/01	100%	100%	
3	Mr. Hoang Minh Thang	01/01	100%	100%	

3. The supervisory activities of the Board of Supervisors over the Board of Directors, the Board of Management and shareholders:

All contents of the BOD's meetings from January 1, 2024 to April 20, 2024 were provided to the Board of Supervisors, so that the Board of Supervisors could understand the Company's operational situation.

Conducted inspections and supervised compliance with the State's legal policies and regimes, decisions of the BOD and the Company's Board of Management through reviewing the BOD's Resolutions and the Board of Management's decisions on business operations.

The Board of Supervisors inspects and supervises the implementation of resolutions of the General Meeting of Shareholders, resolutions of the BOD and decisions of the BOD.

Reviewed the appropriateness of decisions of the BOD and the Board of Management in management and operation, ensuring compliance with the provisions of law and the Company's Charter.

The Board of Supervisors reported to shareholders on the supervision of the Company's business operations and makes recommendations on the Company's governance and business operations.

Monitored the Company's business operations and financial situation during the period.

4. The coordination among the Board of Supervisors, the Board of Directors, the Board of Management and other managers:

During the period from January 1, 2024 to April 20, 2024, the Board of Supervisors received close coordination and facilitation to perform its supervisory duties from the BOD, the Board of Management and relevant individuals/departments, through being provided with documents and information related to the corporate governance situation, the company's business activities as well as information of the Company's financial situation.

5. Other activities of the Board of Supervisors (if any): None

PART 2: AUDIT COMMITTEE

6. Information about members of the Audit Committee

No.	Members of the AC	Position	The date becoming/ceasing to be the member of the AC	Qualification
1	Ms. Nguyen Thi Lan	Chairwoman of the AC	Appointed on April 20, 2024	Master of Economics
2	Mr. Nguyen Xuan Diep	Member of the AC	Appointed on April 20, 2024	Bachelor of Economics

7. Meetings of Audit Committee:

In the period from April 20, 2024 to December 31, 2024, the AC of Viet First Securities Corporation held a total of 08 meetings:

No.	Members of the Board of Supervisors	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
1	Ms. Nguyen Thi Lan	08/08	100%	100%	
2	Mr. Nguyen Xuan Diep	08/08	100%	100%	

8. Supervising Board of Directors, Board of Management and shareholders by Audit Committee:

Examined, supervised the compliance with the law, the Company's Charter and the implementation of resolutions of the General Meeting of Shareholders, resolutions of the BOD and decisions of the Board of Management.

Monitored the business activities and financial situation of the Company during the period.

Monitored the business operations management activities of the Board of Management.

All internal processes, regulations, and internal policies of the Company and reports that VFS was obliged to implement were provided to the AC so that the AC can grasp the Company's operational situation.

9. The coordination among the Audit Committee, the Board of Management, Board of Directors and other managers:

During the period from April 20, 2024 to December 31, 2024, the AC received close coordination and facilitation to perform its supervisory duties from the BOD, the Board of Management and relevant individuals/departments, through being provided with documents and

information related to the company's corporate governance situation, the company's business activities as well as information on the Company's financial situation.

10. Other activities of the Audit Committee: None.

IV. THE EXECUTIVE BOARD

No.	Members of the Executive Board	Date of birth	Qualification	Date of appointment / dismissal of members of Executive Board
1	Mr. Tran Anh Thang Position: General Director		Master of Finance and Business Administration	Date of appointment: 10/04/2021
2	Ms. Nguyen Thi Thu Hang Position: Deputy General Director, Director of Hanoi Branch		Master of Economics	Appointed the Director of Hanoi Branch position on January 20, 2020, the Deputy General Director on March 1, 2023
3	Ms. Trinh Thi Lan Position: Deputy General Director		Bachelor of Economics	Date of appointment: 18/04/2023
4	Mr. Nguyen Tai Vinh Position: Deputy General Director		Bachelor of Commerce	Date of appointment: 14/07/2023
5	Ms. Le Thi Thuy Dung Position: Chief Accountant		Bachelor of Accounting	Date of appointment: 01/12/2018

(**) From the date the Management Board Member begins holding the current position.

V. CHIEF ACCOUNTANT

Name	Date of birth	Qualification	Date of appointment/ dismissal
Ms. Le Thi Thuy Dung		Bachelor of Accounting	Date of appointment: 01/12/2018

VI. TRAINING COURSES ON CORPORATE GOVERNANCE:

Training courses on corporate governance were involved by members of Board of Directors, the Board of Supervisors, Director (General Director), other managers and secretaries in accordance with regulations on corporate governance:

No	Name	Position	Type of Certification
1	Mr. Tran Anh Thang	Standing Vice Chairman of the BOD, General Director, Legal Representative, Person in charge of corporate governance	Corporate Governance for Public Joint Stock Companies
2	Ms. Nguyen Thi Thu Hang	Deputy General Director, Director of Hanoi Branch, Authorized person to disclose information	Corporate Governance for Public Joint Stock Companies
3	Ms. Trinh Thi Lan	Deputy General Director	Corporate Governance for Public Joint Stock Companies
4	Mr. Nguyen Tai Vinh	Deputy General Director	Corporate Governance for Public Joint Stock Companies

VII. The list of affiliated persons of the public company and transactions of affiliated persons of the Company)

1. The list of affiliated persons of the Company

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
01	Mr. Nguyen The Anh		Chairman of the BOD			16/03/2019	20/04/2024	Dismissed	Insider
02	Ms. Nghiem Phuong Nhi		Chairman of the BOD			20/04/2024			Insider
03	Mr. Tran Anh Thang		Standing Vice Chairman of the BOD, General Director, Legal representative, corporate governance officer			16/02/2017			Insider
04	Mr. Hoang The Hung		Member of the BOD			20/04/2024			Insider
05	Ms. Nguyen Thi Lan		Independent Member of the BOD, Chairman of the AC			20/04/2024			Insider
06	Mr. Nguyen Xuan Diep		Independent member of the BOD, Member of the AC			20/04/2024			Internal person
07	Ms. Trinh Thi Lan		Deputy General Director, (dismissed from the Member of the BOD position from April 20, 2024)			03/04/2021			Insider

08	Mr. Nguyen Tai Vinh		Deputy General Director, (dismissed from member of the Board of the Directors position from April 20, 2024)			08/04/2023			Insider
09	Ms. Ta Hai Ha		Independent member of the BOD			08/04/2023	20/04/2024	Dismissed	Insider
10	Mr. Tran Van Duong		Head of the Board of Supervisors			16/03/2017	20/04/2024	Dismissed	Insider
11	Mr. Truong Van Tien		Member of the Board of Supervisors			03/04/2021	20/04/2024	Dismissed	Insider
12	Mr. Hoang Minh Thang		Member of the Board of Supervisors			08/04/2023	20/04/2024	Dismissed	Insider
13	Ms. Nguyen Thi Thu Hang		Deputy General Director, Director of Hanoi Branch, Authorized person to disclose information			20/01/2020			Insider
14	Ms. Le Thi Thuy Dung		Chief Accountant			01/12/2018			Insider
15	Ms. Nguyen Ngoc Hong Phuong		Internal Audit Officer			04/04/2022			Insider

Note: NSH* No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on Operations or equivalent legal documents (As for organisations).

2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, insiders and affiliated persons.

No.	Name of organization/individual	Relationship with the Company	NSH No.*, date of issue, place of issue	Address	Time of transactions with the Company	Resolution No. or Decision No. approved by General Meeting of Shareholders/ BOD (if any, specifying date of issue)	Content, quantity, total value of transaction	Note
1	Tran Anh Thang	Standing Vice Chairman of the BOD, General Director, Legal representative, corporate governance officer, Major Shareholder			2024		Securities transaction fee/collected: 160,236,671 VND	
2	Trinh Thi Lan	Deputy General Director, (dismissed from the Member of the BOD position from April 20, 2024)			2024		Securities transaction fee/collected: 2,065,680 VND	
3	Nguyen Thi Thu Hang	Deputy General Director, Director of Hanoi Branch, Authorized person to disclose information			2024		Securities transaction fee/collected: 870,000 VND	
4	Truong Van Tien	Member of the Board of Supervisors (Dismissed from this position from April 20, 2024)			01/01/2024-20/04/2024		Securities transaction fee: 5,948,706/Securities transaction fee collected: 6,008,031 VND	

5	Nguyen Ngoc Hong Phuong	Internal Audit Officer			2024		Securities transaction fee/collected: 312,494 VND
6	Tran Thi Lan Anh	Related person of Mr. Tran Anh Thang – Standing Vice Chairman of the BOD, General Directors, Legal representative, Person in charge of corporate governance			2024		Securities transaction fee/collected: 92,890 VND
7	Amber Fund Management Joint Stock Company	Related person of Ms. Nghiem Phuong Nhi – Chairman of the BOD			20/04/2024- 31/12/2024		Securities transaction fee/collected: 19,997,993 VND
8	Nguyen Van Canh	Related person of Ms. Nghiem Phuong Nhi – Chairman of the BOD			20/04/2024- 31/12/2024		Securities transaction fee/collected: 52,965,145 VND
9	Hoa An Financial Investment Joint Stock Company	Related person of Ms. Nghiem Phuong Nhi – Chairman of the BOD			20/04/2024- 31/12/2024		Securities transaction fee/collected: 399,749,999 VND
10	EVN Finance Joint Stock Company	Major shareholder			2024		- Securities transaction fee/collected: 1,305,162,850 VND - Term deposit balance: 30,000,000,000 VND - Certificate of deposit balance: 900,000,000,000 VND

Note: NSH* No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on operations or equivalent legal documents (As for organisations).

3. Transaction between insiders of the Company, affiliated persons of insiders and the Company's subsidiaries in which the Company takes controlling power: None.

4. Transactions between the Company and other objects

4.1. Transactions between the Company and the company whose members of Board of Management, the Board of Supervisors, Director (General Director) have been founding members or members of BOD, or CEOs in three (03) latest years (calculated at the time of reporting): None.

4.2. Transactions between the Company and the company whose affiliated persons with members of BOD, Board of Supervisors, Director (General Director) and other managers as a member of BOD, Director (General Director or CEO): None.

4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of the BOD, members of the Board of Supervisors, Director (General Director) and other managers: None.

VIII. SHARE TRANSACTIONS OF INSIDERS AND THEIR AFFILIATED PERSONS

1. The list of insiders and their affiliated persons

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
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1	Nguyen The Anh		Chairman of the BOD (Dismissed from April 20, 2024)					No longer an insider from April 20, 2024, no longer record the ending balance of holding shares
1.1	Nguyen The Ngoc							Father of Mr. Nguyen The Anh (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)
1.2	Nguyen Thi Dieu Huong							Sister of Mr. Nguyen The Anh (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)
1.3	Nguyen Phan Anh							Son of Mr. Nguyen The Anh (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)
1.4	A Chau Vietnam Automobile Joint Stock Company							Mr. Nguyen The Anh – The Legal representative of A Chau Vietnam Automobile Joint Stock Company (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)
2	Nghiem Phuong Nhi		Chairman of the BOD			0	0.00	Insider
2.1	Nguyen Hoang Hai					0	0.00	Husband of Ms. Nghiem Phuong Nhi

2.2	Nghiem Khac Chach					0	0.00	Father of Ms. Nghiem Phuong Nhi
2.3	Luong Ngoc Thuy					0	0.00	Mother of Ms. Nghiem Phuong Nhi
2.4	Nguyen Van Canh					0	0.00	Father-in-law of Ms. Nghiem Phuong Nhi
2.5	Hoang Thi Thanh					0	0.00	Mother-in-law of Ms. Nghiem Phuong Nhi
2.6	Nghiem Thi Thuy Nhi					0	0.00	Sister of Ms. Nghiem Phuong Nhi
2.7	Nguyen Anh Dung					0	0.00	Brother-in-law of Ms. Nghiem Phuong Nhi
2.8	Nguyen Minh Tri					0	0.00	Son of Ms. Nghiem Phuong Nhi
2.9	Nguyen Hoang Vi An					0	0.00	Daughter of Ms. Nghiem Phuong Nhi
2.10	Hoa An Financial Investment Joint Stock Company					20,500,000	17.08	Ms. Nghiem Phuong Nhi – Chairman of the BOD of Hoa An Financial Investment Joint Stock Company
2.11	Amber Fund Management Joint Stock Company					0	0.00	Ms. Nghiem Phuong Nhi – Chairman of the BOD of Amber Fund Management Joint Stock Company

3	Tran Anh Thang		Standing Vice Chairman of the BOD, General Director, Legal representative, Corporate Governance personnel			8,000,000	6.67	Insider
3.1	Tran Van Tru					0	0.00	Father of Mr. Tran Anh Thang
3.2	Nguyen Thi Hue					0	0.00	Mother of Mr. Tran Anh Thang
3.3	Nguyen Van Bo					0	0.00	Father-in-law of Mr. Tran Anh Thang
3.4	Nguyen Thi Nguyet					0	0.00	Mother-in-law of Mr. Tran Anh Thang
3.5	Tran Van Trong					0	0.00	Brother of Mr. Tran Anh Thang
3.6	Tran Thi Thanh Thuy					0	0.00	Sister of Mr. Tran Anh Thang
3.7	Tran Thi Lan Anh					0	0.00	Sister of Mr. Tran Anh Thang
3.8	Nguyen Thi Thanh Nga					0	0.00	Wife of Mr. Tran Anh Thang
3.9	Tran Chi Dung					0	0.00	Son of Mr. Tran Anh Thang
3.10	Tran Manh Hung					0	0.00	Son of Mr. Tran Anh Thang

3.11	Nguyen Thi Thu Hang					0	0.00	Sister-in-law of Mr. Tran Anh Thang
3.12	Hoang Son Hai					0	0.00	Brother-in-law of Mr. Tran Anh Thang
3.13	Nguyen Bao Chung					0	0.00	Brother-in-law of Mr. Tran Anh Thang
3.14	Amber Capital Holdings Joint Stock Company							Mr. Tran Anh Thang – Chairman of the BOD of Amber Capital Holdings Joint Stock Company (No longer being affiliated person of the insider from April 2024, no longer record the ending balance of holding shares)
3.15	Vietnam Commercial Joint Stock Export Import Bank (EIB)					0	0.00	Mr. Tran Anh Thang – Independent member of the BOD of EIB
3.16	Hanoi Non Nuoc Investment Tourist Joint Stock Company							Thang – Chairman of the BOD of Hanoi Non Nuoc Tourism Investment Joint Stock Company (No longer being affiliated person of the insider from April 2024, no longer record the ending balance of holding shares)
4	Hoang The Hung		Member of the BOD			0	0.00	Insider
4.1	Hoang Van Tien					0	0.00	Father of Mr. Hoang The Hung
4.2	Doan Thi Nguyet					0	0.00	Mother of Mr. Hoang The Hung
4.3	Le Thuy Mai					0	0.00	Wife of Mr. Hoang The Hung

4.4	Hoang Le Ha Anh					0	0.00	Daughter of Mr. Hoang The Hung
4.5	Hoang Phuc Dat					0	0.00	Son of Mr. Hoang The Hung
4.6	Hoang Dang Khoi					0	0.00	Son of Mr. Hoang The Hung
4.7	Hoang Thu Ha					0	0.00	Sister of Mr. Hoang The Hung
4.8	Le Sy Hy					0	0.00	Father-in-law of Mr. Hoang The Hung
4.9	Tran Thi Mien					0	0.00	Mother-in-law of Mr. Hoang The Hung
5	Nguyen Thi Lan		Independent member of the BOD			0	0.00	Insider
5.1	Nguyen Ngoc Toan					0	0.00	Father of Ms. Nguyen Thi Lan
5.2	Tran Thi Mui					0	0.00	Mother of Ms. Nguyen Thi Lan
5.3	Dao Van Ninh					1,000	0.0008	Husband of Ms. Nguyen Thi Lan
5.4	Dao Anh Duong					0	0.00	Daughter of Ms. Nguyen Thi Lan
5.5	Dao Lam Hoa					0	0.00	Daughter of Ms. Nguyen Thi Lan
5.6	Amber Capital Joint Stock Company					2,547,500	2.12	Ms Nguyen Thi Lan is the Deputy General Director of Amber Capital Joint Stock Company
6	Nguyen Xuan Diep		Independent Member of the BOD			0	0.00	Insider

6.1	Nguyen Xuan An					0	0.00	Father of Mr. Nguyen Xuan Diep
6.2	Nguyen Thi Nhan					0	0.00	Mother of Mr. Nguyen Xuan Diep
6.3	Trinh Van Cuong					0	0.00	Father-in-law of Mr. Nguyen Xuan Diep
6.4	Trinh Thi Anh Tuyen					0	0.00	Mother-in-law of Mr. Nguyen Xuan Diep
6.5	Trinh Thi Hong Trang					0	0.00	Wife of Mr. Nguyen Xuan Diep
6.6	Nguyen Minh Chau					0	0.00	Daughter of Mr. Nguyen Xuan Diep
6.7	Nguyen Tu Anh					0	0.00	Daughter of Mr. Nguyen Xuan Diep
6.8	Nguyen Xuan Dai					0	0.00	Brother of Mr. Nguyen Xuan Diep
6.9	Nguyen Thi Yen					0	0.00	Sister-in-law of Mr. Nguyen Xuan Diep
6.10	Nguyen Thi Dao					0	0.00	Sister of Mr. Nguyen Xuan Diep
6.11	Vu Trong Tuan					0	0.00	Brother-in-law of Mr. Nguyen Xuan Diep
7	Trinh Thi Lan		Deputy General Director, Member of the BOD (dismissed from this position from April 20, 2024)			3,850,800	3.21	Insider
7.1	Le Manh Hung					0	0.00	Husband of Ms. Trinh Thi Lan
7.2	Le Khoi Nguyen					0	0.00	Son of Ms. Trinh Thi Lan

7.3	Le Minh Quang					0	0.00	Son of Ms.Trinh Thi Lan
7.4	Trinh Trong Phinh					0	0.00	Father of Ms. Trinh Thi Lan
7.5	Luu Thi Tan					0	0.00	Mother of Ms. Trinh Thi Lan
7.6	Trinh Thi Nguyet					0	0.00	Sister of Ms. Trinh Thi Lan
7.7	Trinh Thi Minh					0	0.00	Sister of Ms. Trinh Thi Lan
7.8	Trinh Trong Khoa					0	0.00	Brother of Ms. Trinh Thi Lan
7.9	Nguyen Quang Trung					0	0.00	Brother-in-law of Ms. Trinh Thi Lan
7.10	Le Tien Dung					0	0.00	Brother-in-law of Ms. Trinh Thi Lan
7.11	Le Dinh Man					0	0.00	Father-in-law of Ms. Trinh Thi Lan
7.12	Pham Thi Duc					0	0.00	Mother-in-law of Ms. Trinh Thi Lan
8	Nguyen Tai Vinh		Deputy General Director, Member of the BOD (dismissed from this position from April 20, 2024)			200,000	0.1667	Insider
8.1	Le Thi Thu Trang					4,300	0.0036	Wife of Mr. Nguyen Tai Vinh
8.2	Nguyen Tai Cuong					0	0.00	Father of Mr. Nguyen Tai Vinh

8.3	Dang Bich Nga					0	0.00	Mother of Mr. Nguyen Tai Vinh
8.4	Nguyen Thi Nhang					0	0.00	Mother-in-law of Mr. Nguyen Tai Vinh
8.5	Nguyen Tuong Vy					0	0.00	Sister of Mr. Nguyen Tai Vinh
8.6	Nguyen Tai Minh Huy					0	0.00	Son of Mr. Nguyen Tai Vinh
9	Ta Hai Ha		Independent member of the BOD (Dismissed)					No longer an insider from April 20, 2024, no longer record the ending balance of holding shares
9.1	Nguyen Tien Do							Husband of Ms. Ta Hai Ha (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)
9.2	Duong Thi Minh Yen							Mother of Ms. Ta Hai Ha (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)
9.3	Ta Van Thon							Father of Ms. Ta Hai Ha (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)
9.4	Ta Thanh Cong							Brother of Ms. Ta Hai Ha (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)

9.5	Nguyen Thi Bich Thuy							Mother-in-law of Ms. Ta Hai Ha (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)
9.6	Nguyen Viet Chi							Father-in-law of Ms. Ta Hai Ha (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)
9.7	Nguyen Nhat Dang							Son of Ms. Ta Hai Ha (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)
10	Tran Van Duong		Head of the Board of Supervisors (Dismissed)					No longer being insider from April 20, 2024, no longer record the ending balance of holding shares
10.1	Tran Van Vach							Father of Mr. Tran Van Duong (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)
10.2	Pham Thi Buom							Mother of Mr. Tran Van Duong (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)
10.3	Nguyen The Tap							Father-in-law of Mr. Tran Van Duong (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)

10.4	Le Thi Phi Nga							Mother-in-law of Mr. Tran Van Duong (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)
10.5	Tran Thi Huong							Sister of Mr. Tran Van Duong (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)
10.6	Tran Van Hau							Brother of Mr. Tran Van Duong (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)
10.7	Nguyen Ngoc Bich							Wife of Mr. Tran Van Duong (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)
10.8	Tran Minh Phu							Son of Mr. Tran Van Duong (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)
10.9	Tran Diem Quynh							Daughter of Mr. Tran Van Duong (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)

10.1 0	Le Van Thang							Brother-in-law of Mr. Tran Van Duong (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)
10.1 1	Nguyen Thi Chinh							Sister-in-law of Mr. Tran Van Duong (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)
11	Truong Van Tien		Member of the Board of Supervisors (Dismissed)					No longer being insider from April 20, 2024, no longer record the ending balance of holding shares
11.1	Nguyen Thi Minh Nguyet							Wife of Mr. Truong Van Tien (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)
11.2	Truong Nguyet Nhu							Daughter of Mr. Truong Van Tien (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)
11.3	Truong Nguyen Bao							Son of Mr. Truong Van Tien (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)

11.4	Truong Van Tung							Brother of Mr. Truong Van Tien (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)
11.5	Le Thi Hong Xuyen							Sister-in-law of Mr. Truong Van Tien (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)
11.6	Truong Thi Xuan							Mother of Mr. Truong Van Tien (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)
11.7	Ho Thi Kim Lan							Mother-in-law of Mr. Truong Van Tien (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)
11.8	Nguyen Van Cuc							Father-in-law of Mr. Truong Van Tien (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)
12	Hoang Minh Thang		Member of the Board of Supervisors (Dismissed)					No longer an insider from April 20, 2024, no longer record the ending balance of holding shares

12.1	Hoang Minh Loc							Father of Mr. Hoang Minh Thang (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)
12.2	Nguyen Thu Le							Mother of Mr. Hoang Minh Thang (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)
12.3	Do Thi Hanh							Mother-in-law of Mr. Hoang Minh Thang (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)
12.4	Do Thi Hang							Wife of Mr. Hoang Minh Thang (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)
12.5	Chu Hoang Nguyet							Sister of Mr. Hoang Minh Thang (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)
13	Nguyen Thi Thu Hang		Deputy General Director, Director of Hanoi Branch, Authorized person to disclose information			200,000	0.1667	Insider
13.1	Nguyen Van Bo					0	0.00	Father of Ms. Nguyen Thi Thu Hang

13.2	Nguyen Thi Nguyet					0	0.00	Mother of Ms. Nguyen Thi Thi Hang
13.3	Nguyen Thi Thanh Nga					0	0.00	Sister of Ms. Nguyen Thi Thu Hang
13.4	Bui Ba Thanh					600	0.0005	Husband of Ms. Nguyen Thi Thu Hang
13.5	Bui Hung Anh					0	0.00	Son of Ms. Nguyen Thi Thu Hang
13.6	Bui Minh Quang					0	0.00	Son of Ms. Nguyen Thi Thu Hang
13.7	Bui Xuan Hung					0	0.00	Father-in-law of Ms. Nguyen Thi Thu Hang
13.8	Nguyen Bich Loi					0	0.00	Mother-in-law of Ms. Nguyen Thi Thu Hang
13.9	Tran Anh Thang		Standing Vice Chairman of the BOD, General Director, Legal representative, corporate governance officer			8,000,000	6.67	Brother-in-law of Ms. Nguyen Thi Thu Hang
14	Le Thi Thuy Dung		Chief Accountant			280,500	0.2338	Insider
14.1	Le Huu Tu					0	0.00	Father of Ms. Le Thi Thuy Dung
14.2	Nguyen Thi Cuc					0	0.00	Mother of Ms. Le Thi Thuy Dung
14.3	Le Minh Vuong					0	0.00	Brother of Ms. Le Thi Thuy Dung

14.4	Le Quang Dat					0	0.00	Brother of Ms. Le Thi Thuy Dung
14.5	Tran Thi Mai Gia Han					0	0.00	Sister-in-law of Ms. Le Thi Thuy Dung
15	Nguyen Ngoc Hong Phuong		Internal Audit Officer			3,500	0.0029	Insider
15.1	Nguyen Ngoc Huy					0	0.00	Father of Ms. Nguyen Ngoc Hong Phuong
15.2	Tran Thi Hong Phuc					0	0.00	Mother of Ms. Nguyen Ngoc Hong Phuong
15.3	Huynh Huu Hong Hue					0	0.00	Husband of Ms. Nguyen Ngoc Hong Phuong
15.4	Huynh Minh Lam					0	0.00	Son of Ms. Nguyen Ngoc Hong Phuong
15.5	Nguyen Ngoc Hong Trang					0	0.00	Sister of Ms. Nguyen Ngoc Hong Phuong
15.6	Duong Thi Diep Phuong					0	0.00	Mother-in-law of Ms. Nguyen Ngoc Hong Phuong

2. Transactions of insiders and affiliated persons with shares of the Company

No.	Transaction executor	Relationship with insiders	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.)
			Number of shares	Percentage	Number of shares	Percentage	

1	Mr. Tran Anh Thang	Standing Vice Chairman of the BOD, General Director, Legal representative, Person in charge of corporate governance	15,613,000	13.01	8,000,000	6.67	Sell
2	Hoa An Financial Investment Joint Stock Company	Related person of Ms. Nghiem Phuong Nhi – Chairman of the BOD	0	0	20,500,000	17.08	Buy
3	Mr. Truong Van Tien	Member of the Board of Supervisors (Dismissed from April 20, 2024)	9,000	0.0075			Sold 4,500 shares while being an insider. (No longer being affiliated person of the insider from April 20, 2024, no longer record the ending balance of holding shares)

IX. OTHER SIGNIFICANT ISSUES: None

CHAIRMAN OF THE BOARD OF DIRECTORS

(Sign, full name and seal)



NGHIEM PHUONG NHI