

**VIET FIRST SECURITIES
CORPORATION**

**THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

No: 22/2025/CBTT- VFS

Ho Chi Minh City, March 21, 2025

*Ref: Information disclosure about the Policy
on executing contracts and transactions
between the Company and Related Parties
and approving major contracts and
transactions*

EXTRAORDINARY INFORMATION DISCLOSURE

To:

- **The State Securities Commission;**
- **Vietnam Stock Exchange;**
- **Hanoi Stock Exchange.**

1. Name of organization: VIET FIRST SECURITIES CORPORATION

- Stock code: VFS
- Member code: 094
- Address: 1st Floor, 117-119-121 Nguyen Du Street, Ben Thanh Ward, District 1, HCM City.
- Tel: (028) 6255 6586 - Fax: (028) 6255 6580

2. Content of disclosed information:

Resolution No. 01/2025/NQ-ĐHĐCĐ dated March 20, 2025, of the 2025 Annual General Meeting of Shareholders, approved the Policy on executing contracts and transactions between the Company and Related Parties and approving major contracts and transactions.

This information was published on the company's website on March 21, 2025, as in the link: <https://www.vfs.com.vn/en/danh-muc-bao-cao/information-disclosure>.

We hereby declare to be responsible for the accuracy and completeness of the above information.

Attached document:

- *Resolution of the 2025 Annual General Meeting of Shareholders*
- *Proposal No.: 09/2025/TTr-HĐQT-VFS*

**Person authorized to disclose information
DEPUTY GENERAL DIRECTOR**



NGUYEN THI THU HANG

RESOLUTION
2025 ANNUAL GENERAL MEETING OF SHAREHOLDERS
OF VIET FIRST SECURITIES CORPORATION

- Pursuant to the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020, and its amendments, supplements, and guiding documents;
- Pursuant to the Law on Securities No. 54/2019/QH14 dated November 26, 2019, and its amendments, supplements, and guiding documents;
- Pursuant to Decree No. 155/2020/ND-CP dated December 31, 2020, of the Government detailing the implementation of certain provisions of the Law on Securities and its amendments, supplements, and guiding documents;
- Pursuant to the Charter of Viet First Securities Corporation;
- Pursuant to the Minutes of the 2025 Annual General Meeting of Shareholders of Viet First Securities Corporation (“AGM”) dated March 20, 2025.



RESOLVES

- Article 1.** Approval of the Report on the Board of Directors (BOD) at the 2025 Annual General Shareholders’ Meeting (As per Report No. 01/2025/BC-HDQT-VFS attached).
- Article 2.** Approval of the Report on the activities of the Audit Committee and Independent Members of the BOD in the Audit Committee in 2024 at the 2025 Annual General Shareholders’ Meeting (As per Report No. 01/2025/BC-UBKT-VFS attached).
- Article 3.** Approval of the Report of the Board of Management on business performance in 2024 and Business Plan for 2025 (As per the attached Report of the Executive Board).
- Article 4.** Approval of the Audited Financial Statements for the year of 2024 (As per Proposal No. 01/2025/TTr-HDQT-VFS attached).
- Article 5.** Approval of the Selection of Auditors for the 2025 Financial Statements (As per Proposal No. 02/2025/TTr-HDQT-VFS attached).
- Article 6.** Approval of the proposal for Distribution of net profit of the year 2024 and Plan for 2025; the Remuneration of the Board of Directors and the Supervisory Board for 2024 and the Remuneration Plan for 2025 (As per Proposal No. 03/2025/TTr-HDQT-VFS attached).
- Article 7.** Approval of the Proposal to amend and supplement the Company’s Charter (As per Proposal No. 04/2025/TTr-HDQT-VFS attached).
- Article 8.** Approval of the Proposal to amend and supplement the Regulations on operation of the Board of Directors (As per Proposal No. 05/2025/TTr-HDQT-VFS attached).
- Article 9.** Approval of the Proposal to amend and supplement the Internal regulations on company administration (As per Proposal No. 06/2025/TTr-HDQT-VFS attached).

- Article 10.** Approval of the Proposal for continuing stock distribution according to the plan for issuing shares to 2023 dividend payment; Plan for issuing shares to 2024 dividend payment (As per Proposal No. 07/2025/TTr-HDQT-VFS attached).
- Article 11.** Approval of the Proposal for the Plan to issue shares to shareholders (As per Proposal No. 08/2025/TTr-HDQT-VFS attached).
- Article 12.** Approval of the Proposal for the approval of transactions and contracts with related parties and the approval of major contracts and transactions (As per Proposal No. 09/2025/TTr-HDQT-VFS attached).
- Article 13.** Approval of the Proposal on the report on the addition of derivative securities business operations and the continued application for additional business operation licenses (As per Proposal No. 10/2025/TTr-HDQT-VFS attached).
- Article 14.** Approval of the Proposal for dismissal of Board of Directors members for the 2024-2029 term (As per Proposal No. 11/2025/TTr-HDQT-VFS attached).
- Article 15.** Approval of the Proposal for changing the number of the Board of Directors members for the 2024-2029 term (As per Proposal No. 12/2025/TTr-HDQT-VFS attached).
- Article 16. Implementation provisions.**

This Resolution was approved by the 2025 Annual General Meeting of Shareholders of the Company and takes effect from the date of signing.

Members of the Board of Directors, the Audit Committee, and the Board of Management are responsible for executing this Resolution and organizing its implementation in accordance with their respective functions, in compliance with legal regulations and the Company's organizational and operational charter.

Recipients:

- AGM;
- BOD, AC, BOM;
- Filing;
- Disclosure as per regulations.

**ON BEHALF OF THE AGM
CHAIRMAN OF THE BOARD OF DIRECTORS**



CÔNG TY
CỔ PHẦN
CHỨNG KHOÁN
NHẤT VIỆT
QUẬN 1 - T.P. HỒ CHÍ MINH

NGHIEM PHUONG NHI

PROPOSAL

(Re: approval of transactions and contracts with related parties and the approval of major contracts and transactions)

**To: The 2025 Annual General Meeting of Shareholders
of Viet First Securities Corporation**

- Pursuant to the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020, and its amendments, supplements, and guiding documents;
- Pursuant to the Law on Securities No. 54/2019/QH14 dated November 26, 2019, and its amendments, supplements, and guiding documents;
- Pursuant to the Charter of Viet First Securities Corporation.



To proactively conduct business operations and ensure the achievement of the proposed plan, the Board of Directors hereby submits to the 2025 Annual General Meeting of Shareholders for approval of the policy on executing contracts and transactions during the 2025-2026 period as follows:

1. Approval for investment and asset sales transactions with a value of 35% or more of the total asset value recorded in the Company's latest financial statement.

1.1. Transaction details:

- Investments in bonds, certificates of deposit, and other valuable papers;
- Asset sales transactions;
- Other transactions in accordance with legal regulations.

1.2. Transaction counterparties: As specified in Appendix 01 attached.

2. Approval for the signing and implementation of contracts, loan transactions, and asset sales with a value exceeding 10% of the total asset value recorded on the latest financial report between the company and shareholders owning 51% or more of the total number of voting shares or related persons of that shareholder

2.1. Transaction details:

- Lending;
- Asset sales transactions;
- Other transactions in accordance with legal regulations.

2.2. Transaction counterparties: Shareholders owning 51% or more of the total number of voting shares or related persons of that shareholder.

3. Approval for the execution of contracts and transactions between the Company and Related Parties with a value of 35% or more or transactions leading to a cumulative transaction value within 12 months (from the date of the first transaction after this Resolution takes effect) reaching 35% or more of the total asset value recorded in the Company's latest financial statement.

3.1. Transactions with Related Parties include but are not limited to:

- a. Purchase and sale of goods and provision of services;
- b. Lending, borrowing, guarantees, pledges, and mortgages of assets for the purpose of optimizing cash flow and financial activities among parties;
- c. Investments in bonds, certificates of deposit, and other valuable papers;
- d. Other transactions in accordance with legal regulations.

3.2. Transaction counterparties:

- Related Parties participating in transactions include:
 - a. Shareholders, authorized representatives of institutional shareholders holding more than 10% of the Company's total ordinary shares, and their related persons;
 - b. Members of the Board of Directors, the General Director, other managers, and their related persons;
 - c. Enterprises for which members of the Board of Directors, the General Director, and other managers of the Company are required to declare in accordance with Clause 2, Article 164 of the Law on Enterprises 2020.
- In addition to the Related Parties specified in Clause 2.2, other Related Parties and transaction entities shall be approved as listed in Appendix 01 attached.

4. Principles for conducting transactions

- Comply with legal regulations and the Company's internal management system.
- Optimize the efficiency of capital resources and business operations of the Company.
- Ensure compliance with the specific conditions specified in Sections 1, 2, 3 of this Resolution.
- Control transaction risks while ensuring Company benefits.
- Comply with the reporting regime according to the law and internal regulations of the Company.

5. Implementation Period: From the effective date of the Resolution of the 2025 Annual General Meeting of Shareholders until the issuance of the Resolution of the 2026 Annual General Meeting of Shareholders.

6. Authorization to the Company's Board of Directors:

- Approve the transactions mentioned above in accordance with the policy adopted by the General Meeting of Shareholders in this Resolution.
- Direct and organize the execution of the approved contracts and transactions. The Board of Directors shall have the authority to delegate and re-authorize in compliance with legal regulations and the Company's internal rules.

Respectfully submitted to the General Meeting of Shareholders for consideration and approval!

Recipients:

- *As above;*
- *Board of Directors;*
- *Audit Committee;*
- *Archives: Admin.Dept.*

ON BEHALF OF THE BOARD OF DIRECTORS



NGHIEM PHUONG NHI

CÔNG TY
CỔ PHẦN
CHỨNG KHOÁN
NHẤT VIỆT
CHI NHÁNH
QUẬN 1 - TP. HỒ CHÍ MINH

APPENDIX 01: PARTIES TO THE TRANSACTIONS

No.	Transaction Counterparty
1	Vietnam Technological and Commercial Joint Stock Bank
2	Vietnam Maritime Commercial Joint Stock Bank
3	Southeast Asia Commercial Joint Stock Bank
4	Military Commercial Joint Stock Bank
5	Vietnam Joint Stock Commercial Bank for Industry and Trade
6	EVN Finance Joint Stock Company
7	Bank for Investment and Development of Vietnam
8	Vietnam Bank for Agriculture and Rural Development
9	Joint Stock Commercial Bank for Foreign Trade of Vietnam
10	Vietnam Prosperity Joint Stock Commercial Bank
11	Vietnam International Commercial Joint Stock Bank
12	Vietnam Thuong Tin Commercial Joint Stock Bank
13	Orient Commercial Joint Stock Bank
14	Saigon Thuong Tin Commercial Joint Stock Bank
15	Asia Commercial Joint Stock Bank (ACB)
16	Bac A Commercial Joint Stock Bank
17	An Binh Commercial Joint Stock Bank
18	Ho Chi Minh City Development Commercial Joint Stock Bank
19	Viet Capital Commercial Joint Stock Bank
20	Vietnam Export Import Commercial Joint Stock Bank
21	Tien Phong Commercial Joint Stock Bank
22	Fortune Vietnam Joint Stock Commercial Bank
23	Saigon - Hanoi Commercial Joint Stock Bank
24	Kien Long Commercial Joint Stock Bank
25	Thien Viet Securities Joint Stock Company

