

**VIET FIRST SECURITIES
CORPORATION**

THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

No: 36/2026/CBTT– VFS

Ho Chi Minh City, July 30, 2026

*Ref: Information disclosure about the
Corporate Governance Report for the
first 06 months of 2026*

**INFORMATION DISCLOSURE ON THE STATE SECURITIES COMMISSION'S
PORTAL AND THE STOCK EXCHANGE PORTALS**

**To: The State Securities Commission;
Vietnam Exchange;
Hanoi Stock Exchange.**

Viet First Securities Corporation – Member code: 094.

Address: 1st Floor, 117-119-121 Nguyen Du Street, Ben Thanh Ward, HCM City

Tel: (028) 6 255 6586 - Fax: (028) 6 255 6580

Information disclosure officer: Ms. Trinh Thi Lan – Deputy General Director

Address: 1st Floor, 117-119-121 Nguyen Du Street, Ben Thanh Ward, HCM City

Tel: (028) 6 255 6586 - Fax: (028) 6 255 6580

Information disclosure type: ☒ Periodic ☐ Extraordinary ☐ Upon request

Content of the disclosed information (*):

The Corporate Governance Report for the first 06 months of 2026.

This information was published on the company's website on July 30, 2026 at the link:
<https://www.vfs.com.vn/en/danh-muc-bao-cao/corporate-governance>.

We hereby certify that the information provided herein is true and correct and we shall be legally responsible for the contents of the published information.

Attached document:

- Corporate Governance Report in the first 06 months of 2026

Person authorized to disclose information

(Signature, full name, position, and seal)

DEPUTY GENERAL DIRECTOR



TRINH THI LAN

No: 02/2026/BCQT-VFS

Ho Chi Minh City, July 30, 2026.

REPORT ON CORPORATE GOVERNANCE
(First 06 months of 2026)

To: - The State Securities Commission;
- Vietnam Exchange;
- Hanoi Stock Exchange.

- Name of company: **VIET FIRST SECURITIES CORPORATION**
- Address of head office: 1st Floor, 117-119-121 Nguyen Du Street, Ben Thanh Ward, HCM City
- Telephone: (028) 6 255 6586 Fax: (028) 6 255 6580 Email:
- Charter capital: 1,539,634,350,000 (In words: One trillion, five hundred thirty-nine billion, six hundred thirty-four million, three hundred fifty thousand VND)
- Stock symbol: VFS
- Governance model:
- + General Meeting of Shareholders (GMS), Board of Directors (BOD), General Director (GD) and Audit Committee (AC) under the Board of Directors.
- The implementation of internal audit: Implemented.

I. ACTIVITIES OF THE GENERAL MEETING OF SHAREHOLDERS

Information on meetings, Resolutions and Decisions of the General Meeting of Shareholders (including the Resolutions of the General Meeting of Shareholders approved in the form of written opinions):

No.	Resolution/Decision No.	Date	Content
01	01/2026/NQ-ĐHĐCĐ	15/04/2026	The resolution of the 2026 Annual General Meeting of Shareholders approved the following contents: - Report of the Board of Directors at the 2026 Annual General Meeting of Shareholders.

			- Report on the activities of Audit Committee and Independent Members of the BOD in the Audit Committee in 2025. - Report on the business operations for 2025 and Plan for 2026 of the Board of Management. - Audited Financial Statements of 2025. - Selection of Auditors for the 2026 Financial Statements. - The 2025 Profit Distribution Plan and the Proposed Plan for 2026; and the 2025 Remuneration of the Board of Directors and the Audit Committee and the Remuneration Plan for 2026. - The share issuance plan for 2025 dividend payment. - The share offering plan to existing shareholders. - Approval of the policy on transactions and contracts between the company and related parties and the approval of material transactions and contracts. - Application for additional licensing for derivatives business operations.
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II. BOARD OF DIRECTORS:

1. Information about the members of the Board of Directors:

No.	Members of the BOD	Position (Independent members of the BOD, Non-executive members of the BOD)	The date becoming/ceasing to be the member of the BOD	
			Date of appointment (*)	Date of dismissal
1	Ms. Nghiem Phuong Nhi	Chairman of the BOD	20/04/2024	
2	Mr. Hoang The Hung	Member of the BOD	20/04/2024	
3	Ms. Nguyen Thi Lan	Independent Member of the BOD	20/04/2024	

(*) The date of appointment is the date on which the member of the BOD was elected by the General Meeting of Shareholders; for the Chairman of the BOD, it is the date of election by the BOD.

On April 20, 2024, Ms. Nghiem Phuong Nhi was elected as a member of the BOD for the 2024-2029 term according to the Resolution No. 01/2024/NQ-ĐHĐCĐ of the 2024 Annual General Meeting of Shareholders and was elected as Chairman of the BOD of Viet First Securities Corporation from April 20, 2024 according to the Resolution No. 04/2024/NQ/VFS-HĐQT.

Mr. Hoang The Hung was elected as a member of the BOD and Ms. Nguyen Thi Lan was elected as an independent member of the BOD for the 2024-2029 term, according to the Resolution of the 2024 Annual General Meeting of Shareholders No. 01/2024/NQ-ĐHĐCĐ dated April 20, 2024.

2. Meetings of the Board of Directors:

In the first 06 months of 2026, the BOD of Viet First Securities Corporation held 04 meetings and conducted 20 written consultations to decide on matters and tasks within the BOD's functions and responsibilities, issuing a total of 24 resolutions:

No.	Board of Directors' member	Number of BOD meetings attended/participated in via written consultation	Meeting attendance rate / written consultation participation rate	Reasons for Absence / Non-participation in Written Consultations
1.	Ms. Nghiem Phuong Nhi	24/24	100.00%	
2.	Mr. Hoang The Hung	24/24	100.00%	
3.	Ms. Nguyen Thi Lan	24/24	100.00%	

3. The supervisory activities of the Board of Directors over the Board of Management:

The BOD has supervised the activities of the Board of Management in implementing the Resolutions of the General Meeting of Shareholders, the BOD's resolutions, and other work within the scope of its authority, through the following activities:

- Formulated the business plan for the fiscal year 2026 and submitted for approval at the 2026 Annual General Meeting of Shareholders.
- Selection of Auditors for the 2026 Financial Statements.
- Implemented the plan to issue additional shares for dividend payment.
- Supervised the implementation of welfare policies, rewards, and support for employees.
- Supervised the establishment of Divisions/Departments and the recruitment activities in accordance with the Company's organizational structure.
- Supervised the Company's operations in compliance with the regulations of the Law on Enterprises, the Company Charter and current legal regulations.
- Monitored and clearly understood the Company's business operations, implemented appropriate business decisions and supported the Board of Management in fulfilling assigned business plans.
- Assigned/Authorized the General Director to review and propose amendments and supplements to fulfill the VFS Regulations and procedures.

4. Activities of the Board of Directors' subcommittees (If any):

The AC performs its duties and responsibilities in accordance with the law, charter and regulations of the AC. The Internal Audit Department performs its duties and responsibilities in

accordance with the Company's Internal Audit Regulations, under the management, direction, administration and supervision of the AC, as assigned by the BOD.

5. Resolutions/Decisions of the Board of Directors in the first 06 months of 2026:

No.	Resolution/Decision No.	Date	Content	Approval rate
01	01/2026/NQ/VFS-HĐQT	14/01/2026	Approval of the 2026 Lunar New Year Bonus for Employees	100%
02	02/2026/NQ/VFS-HĐQT	14/01/2026	Approval of Investment Activities in Listed Shares and Shares Registered for Trading in 2026	100%
03	02A/2026/NQ/VFS-HĐQT	02/02/2026	Approval of the Technology Investment and Development Plan in 2026	100%
04	03/2026/NQ/VFS-HĐQT	23/02/2026	Approval of the establishment of the Trung Hoa Transaction Office – under Hanoi Branch	100%
05	04/2026/NQ/VFS-HĐQT	23/02/2026	Approval of the 2026 investment limits plan and credit facility limit with credit institutions (“CIs”) included in the list of approved counterparty CIs	100%
06	05/2026/NQ/VFS-HĐQT	25/02/2026	Approval of the plan to organize the 2026 Annual General Meeting of Shareholders	100%
07	06/2026/NQ/VFS-HĐQT	18/03/2026	Approval of the credit facility limit with Ho Chi Minh City Development Joint Stock Commercial Bank – Hoan Kiem Branch	100%
08	07/2026/NQ/VFS-HĐQT	25/03/2026	Approval of the agenda for Annual General Meeting of Shareholders in 2026	100%

09	08/2026/NQ/VFS-HĐQT	13/04/2026	Approval for the execution of contracts and transactions between the Company and Amber Fund Management Joint Stock Company	100% (calculated based on the total number of voting-eligible members of the BOD)
10	09/2026/NQ/VFS-HĐQT	23/04/2026	Approval of the implementation of the share Issuance Plan for 2025 dividend payment	100%
11	10/2026/NQ/VFS-HĐQT	08/05/2026	Appointment to the position of Deputy Chief Executive Officer	100%
12	11/2026/NQ/VFS-HĐQT	11/05/2026	Approval for the Implementation of the Network Infrastructure and Cybersecurity Upgrade Project	100%
13	12/2026/NQ/VFS-HĐQT	15/05/2026	Approval of the record date for exercising rights to receive the 2025 share dividend	100%
14	13/2026/NQ/VFS-HĐQT	15/05/2026	Approval of the Recruitment Plan for the Deputy Head of Internal Audit Department position	100%
15	14/2026/NQ/VFS-HĐQT	25/05/2026	Approval of the Company's credit facility limit with Vietnam Maritime Commercial Joint Stock Bank ("MSB")	100%
16	15/2026/NQ/VFS-HĐQT	25/05/2026	Approval of the Company's credit facility limit with An Binh Commercial Joint Stock Bank – Ha Noi Branch	100%
17	16/2026/NQ/VFS-HĐQT	28/05/2026	Approval of the Investment Plan for Bonds Issued by Lac Viet Quy Nhon Company Limited	100%

18	17/2026/NQ/VFS-HĐQT	02/06/2026	Approval for the execution of contracts and transactions between the Company and Amber Fund Management Joint Stock Company	100% (calculated based on the total number of voting-eligible members of the BOD)
19	18/2026/NQ/VFS-HĐQT	02/06/2026	Approval of results of the share issuance for 2025 dividend payment	100%
20	19/2026/NQ/VFS-HĐQT	09/06/2026	Approval of the Company's credit facility limit with Joint Stock Commercial Bank for Investment and Development of Vietnam ("BIDV")	100%
21	20/2026/NQ/VFS-HĐQT	10/06/2026	Approval of the Company's credit facility limit with Vietnam Export Import Commercial Joint Stock Bank – District 1 Branch ("Eximbank")	100%
22	21/2026/NQ/VFS-HĐQT	19/06/2026	Approval of the credit facility limit with Indovina Bank Ltd.	100%
23	22/2026/NQ/VFS-HĐQT	19/06/2026	Approval of the credit facility limit with Vietnam Prosperity Joint Stock Commercial Bank	100%
24	23/2026/NQ/VFS-HĐQT	26/06/2026	Selection of an Independent Audit Firm to Audit the 2026 Financial Statements and Financial Safety Ratio Report	100%

III. AUDIT COMMITTEE:

1. Information about members of the Audit Committee

No.	Members of the AC	Position	The date becoming/ceasing to be the member of the AC	Qualification
1	Ms. Nguyen Thi Lan	Chairwoman of the AC	Appointed on April 20, 2024	Master of Economics
2	Mr. Hoang The Hung	Member of the AC	Appointed on April 10, 2025	Bachelor of Engineering in Information Technology

2. Meetings of Audit Committee:

In the first 06 months of 2026, the AC of Viet First Securities Corporation held a total of 02 meetings:

No.	Members of the AC	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
1	Ms. Nguyen Thi Lan	02	100%	100%	
2	Mr. Hoang The Hung	02	100%	100%	

3. Supervising the Board of Directors, Board of Management, and shareholders by the Audit Committee:

Examined, supervised the compliance with the law, the Company's Charter and the implementation of Resolutions of the General Meeting of Shareholders, resolutions of the BOD and decisions of the Board of Management.

Monitored the business activities and financial situation of the Company during the period.

Supervised the executive activities of the Board of Management in business operations.

All internal processes, regulations, and internal policies of the Company and reports that VFS was obliged to implement were provided to the AC so that the AC can grasp the Company's operational situation.

4. The coordination among the Audit Committee, the Board of Management, the Board of Directors, and other managers:

In the first 06 months of 2026, the AC received close coordination and facilitation to perform its supervisory duties from the BOD, the Board of Management and relevant individuals/departments,

through being provided with documents and information related to the company's corporate governance situation, the company's business activities as well as information on the Company's financial situation.

5. Other activities of the Audit Committee: None.

IV. THE EXECUTIVE BOARD

No.	Members of the Executive Board	Date of birth	Qualification	Date of appointment / dismissal of members of the Executive Board (**)
1	Ms. Nguyen Thi Thu Hang Position: General Director, Director of Hanoi Branch		Master of Economics	Appointed as the Director of Hanoi Branch on January 20, 2020, appointed the General Director on May 08, 2025
2	Ms. Trinh Thi Lan Position: Deputy General Director		Bachelor of Economics	Date of appointment: 18/04/2023
3	Mr. Nguyen Tai Vinh Position: Deputy General Director		Bachelor of Commerce	Date of appointment: 14/07/2023
4	Mr. Tang Minh Vuong Position: Deputy General Director		Bachelor of Engineering in Electronics and Telecommunications	Date of appointment: 08/05/2026

5	Ms. Le Thi Thuy Dung Position: Chief Accountant		Bachelor of Accounting	Date of appointment: 01/12/2018
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(**) From the date the Executive Board Member begins holding their current position.

V. CHIEF ACCOUNTANT

Name	Date of birth	Qualification	Date of appointment/ dismissal
Ms. Le Thi Thuy Dung		Bachelor of Accounting	Date of appointment: 01/12/2018

VI. TRAINING COURSES ON CORPORATE GOVERNANCE:

Training courses on corporate governance attended by members of Board of Directors, Director (General Director), other managers and secretary in accordance with regulations on corporate governance:

No	Name	Position	Type of Certification
1	Ms. Nguyen Thi Thu Hang	General Director, Legal Representative, Corporate Governance Officer, Director of Hanoi Branch	Corporate Governance
2	Ms. Trinh Thi Lan	Deputy General Director, Authorized person to disclose information	Corporate Governance
3	Mr. Nguyen Tai Vinh	Deputy General Director	Corporate Governance
4	Mr. Tang Minh Vuong	Deputy General Director	Corporate Governance

VII. The list of affiliated persons of the public company and transactions of affiliated persons of the Company

1. The list of affiliated persons of the Company

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
01	Ms. Nghiem Phuong Nhi		Chairman of the BOD			20/04/2024			Insider
02	Mr. Hoang The Hung		Member of the BOD, Member of the AC			20/04/2024			Insider
03	Ms. Nguyen Thi Lan		Independent Member of the BOD, Chairman of the AC			20/04/2024			Insider
04	Ms. Nguyen Thi Thu Hang		General Director, Legal representative, Corporate Governance Officer, Director of Hanoi Branch			20/01/2020			Insider
05	Ms. Trinh Thi Lan		Deputy General Director, Authorized person to disclose information			03/04/2021			Insider

06	Mr. Nguyen Tai Vinh		Deputy General Director			08/04/2023			Insider
07	Mr. Tang Minh Vuong		Deputy General Director			08/05/2026			Insider
08	Ms. Le Thi Thuy Dung		Chief Accountant			01/12/2018			Insider
09	Hoa An Financial Investment Joint Stock Company			Enterprise Registration Certificate No. 0110367579, issued on July 31, 2023, by Hanoi Department of Planning and Investment	CP1.18.01 18th floor, tower 1, Capital Place, 29 Lieu Giai, Ngoc Ha Ward, Hanoi City, Vietnam	24/09/2024			Shareholder holding more than 10% of VFS's voting shares
10	Alpha Reinsurance Joint Stock Company			License for Establishment and Operation No. 101/GP/KDBH, issued on November 19, 2025, by Ministry of Finance	2 nd Floor, 37 Ba Trieu Building, Cua Nam Ward, Hanoi City	24/11/2025			VFS is a shareholder holding more than 10% of the voting shares

Note: NSH* No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on Operations or equivalent legal documents (As for organisations).

2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, insiders and affiliated persons of insiders

No.	Name of organization/individual	Relationship with the Company	NSH No.*, date of issue, place of issue	Address	Time of transactions with the Company	Resolution No. or Decision No. approved by General Meeting of Shareholders / BOD (if any, specifying date of issue)	Content, quantity, total value of transaction	Note
1	Nguyen Thi Thu Hang	General Director, Legal representative, Corporate Governance Officer, Director of Hanoi Branch			01/01/2026 – 30/06/2026		Securities transaction fee/collected: 1,292,248 VND	
2	Tran Anh Thang	Major Shareholder; Affiliated person of Ms. Nguyen Thi Thu Hang - General Director, Legal representative, Corporate Governance Officer, Director of Hanoi Branch			01/01/2026 – 30/06/2026		Securities transaction fee/collected: 16,880,612 VND	

3	Nguyen Bich Loi	Affiliated person of Ms. Nguyen Thi Thu Hang - General Director, Legal representative, Corporate Governance Officer, Director of Hanoi Branch			01/01/2026 – 30/06/2026		Securities transaction fee/collected: 6,473,250 VND	
4	Le Manh Hung	Affiliated person of Ms. Trinh Thi Lan - Deputy General Director			01/01/2026 – 30/06/2026		Securities transaction fee/collected: 7,861,050 VND	
5	EVF General Finance Joint Stock Company	Major shareholder	Business Registration Certificate No. 0102806367, issued on December 26, 2023, by Hanoi Department of Planning and Investment	6 th and 7 th Floors, ThaiSquare Caliria Building, 11A Cat Linh Street, O Cho Dua Ward, Hanoi, Vietnam.	01/01/2026 – 30/06/2026		- Borrowing from EVNFC: 194,000,000,000 VND - Certificate of deposit balance: 199,006,532,297 VND -Credit limit at EVNFC: VND 1,000 billion	

6	Amber Fund Management Joint Stock Company	Affiliated person of Ms. Nghiem Phuong Nhi – Chairman of the BOD	Establishment and Operation Certificate No. 39/GP-UBCK, issued on October 8, 2008, by The State Securities Commission	1st Floor, VOV Building, 37 Ba Trieu, Cua Nam Ward, Hanoi	01/01/2026 – 30/06/2026	Resolution No. 08/2026/NQ/VFS-HĐQT dated April 13, 2026 Resolution No. 17/2026/NQ/VFS-HĐQT dated June 02, 2026	Approval for the execution of Fund Certificate Distribution Agreement between the Company and Amber Fund Management Joint Stock Company Contract value: subject to the quantity and value of fund certificates distributed in accordance with the terms and conditions of the Agreement.
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Note: NSH* No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on operations or equivalent legal documents (As for organisations).

3. Transaction between insiders of the Company, affiliated persons of insiders and the Company's subsidiaries in which the Company takes controlling power: None.



4. Transactions between the Company and other objects

4.1. Transactions between the Company and the company whose members of the BOD, the Board of Supervisors, Director (General Director) have been founding members or members of BOD, or GD in three (03) latest years (calculated at the time of reporting): None.

4.2. Transactions between the Company and any company in which a related person of any member of the BOD, the Board of Supervisors, Director (General Director), or other managers hold the position of a member of the BOD, Director (General Director): None.

4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of the BOD, members of the Board of Supervisors, Director (General Director) and other managers: None.

VIII. SHARE TRANSACTIONS OF INSIDERS AND THEIR AFFILIATED PERSONS

1. The list of insiders and their affiliated persons

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1	Nghiem Phuong Nhi		Chairman of the BOD			0	0.00	Insider
1.1	Nguyen Hoang Hai					0	0.00	Husband of Ms. Nghiem Phuong Nhi

1.2	Nghiem Khac Chach					0	0.00	Father of Ms. Nghiem Phuong Nhi
1.3	Luong Ngoc Thuy					0	0.00	Mother of Ms. Nghiem Phuong Nhi
1.4	Nguyen Van Canh					0	0.00	Father-in-law of Ms. Nghiem Phuong Nhi
1.5	Hoang Thi Thanh					0	0.00	Mother-in-law of Ms. Nghiem Phuong Nhi
1.6	Nghiem Thi Thuy Nhi					0	0.00	Sister of Ms. Nghiem Phuong Nhi
1.7	Nguyen Anh Dung					0	0.00	Brother-in-law of Ms. Nghiem Phuong Nhi
1.8	Nguyen Minh Tri					0	0.00	Son of Ms. Nghiem Phuong Nhi

1.9	Nguyen Hoang Vi An					0	0.00	Daughter of Ms. Nghiem Phuong Nhi
1.10	Hoa An Financial Investment Joint Stock Company			Enterprise Registration Certificate No. 0110367579, issued on July 31, 2023, by Hanoi Department of Planning and Investment	CP1.18.01 18th floor, tower 1, Capital Place, 29 Lieu Giai, Ngoc Ha Ward, Hanoi City, Vietnam	26,302,320	17.08	Ms. Nghiem Phuong Nhi – Chairman of the BOD of Hoa An Financial Investment Joint Stock Company
1.11	Amber Fund Management Joint Stock Company			Establishment and Operation Certificate No. 39/GP-UBCK, issued on October 8, 2008, by The State Securities Commission	1 st Floor, VOV Building, 37 Ba Trieu, Cua Nam Ward, Hanoi	0	0.00	Ms. Nghiem Phuong Nhi – Chairman of the BOD of Amber Fund Management Joint Stock Company
1.12	Alpha Reinsurance Joint Stock Company			License for Establishment and Operation No. 101/GP/KDBH, issued on November 19, 2025, by Ministry of Finance	2 nd Floor, 37 Ba Trieu Building, Cua Nam Ward, Hanoi City	0	0.00	Ms. Nghiem Phuong Nhi – Chairman of the BOD of Alpha Reinsurance Joint Stock Company

2	Hoang The Hung		Member of the BOD, Member of AC			0	0.00	Insider
2.1	Hoang Van Tien					0	0.00	Father of Mr. Hoang The Hung
2.2	Doan Thi Nguyet					0	0.00	Mother of Mr. Hoang The Hung
2.3	Le Thuy Mai					0	0.00	Wife of Mr. Hoang The Hung
2.4	Hoang Le Ha Anh					0	0.00	Daughter of Mr. Hoang The Hung
2.5	Hoang Phuc Dat					0	0.00	Son of Mr. Hoang The Hung
2.6	Hoang Dang Khoi					0	0.00	Son of Mr. Hoang The Hung

2.7	Hoang Thu Ha					0	0.00	Sister of Mr. Hoang The Hung
2.8	Le Sy Hy					0	0.00	Father-in-law of Mr. Hoang The Hung
2.9	Tran Thi Mien					0	0.00	Mother-in-law of Mr. Hoang The Hung
2.10	Vietnam Export Import Commercial Joint - Stock Bank (EIB)			Business Registration Certificate No. 0301179079, issued on December 27, 2022, by Ho Chi Minh City Department of Planning and Investment	8 th Floor, Office No. L8-01-11+16, Vincom Center Building, 72 Le Thanh Ton, Sai Gon Ward, Ho Chi Minh City			Mr. Hoang The Hung – Independent member of the BOD of EIB (No longer an affiliated person of the insider as of April 28, 2026; therefore, the number of shares held at the end of the period is not monitored.)
3	Nguyen Thi Lan		Independent Member of the BOD, Chairman of the AC			0	0.00	Insider
3.1	Nguyen Ngoc Toan					0	0.00	Father of Ms. Nguyen Thi Lan



3.2	Tran Thi Mui					0	0.00	Mother of Ms. Nguyen Thi Lan
3.3	Dao Van Ninh					1,282	0.0008	Husband of Ms. Nguyen Thi Lan
3.4	Dao Anh Duong					0	0.00	Daughter of Ms. Nguyen Thi Lan
3.5	Dao Lam Hoa					0	0.00	Child of Ms. Nguyen Thi Lan
3.6	Amber Capital Joint Stock Company			Business Registration Certificate No. 0104237691, issued on February 21, 2017, by Hanoi Department of Planning and Investment		3,020,494	1.96	Ms Nguyen Thi Lan is the Deputy General Director of Amber Capital Joint Stock Company

4	Nguyen Thi Thu Hang		General Director, Legal representative, Corporate Governance Officer, Director of Hanoi Branch			256,608	0.1667	Insider
4.1	Nguyen Van Bo					0	0.00	Father of Ms. Nguyen Thi Thu Hang
4.2	Nguyen Thi Nguyet					0	0.00	Mother of Ms. Nguyen Thi Thu Hang
4.3	Nguyen Thi Thanh Nga					0	0.00	Sister of Ms. Nguyen Thi Thu Hang
4.4	Bui Ba Thanh					768	0.0005	Husband of Ms. Nguyen Thi Thu Hang
4.5	Bui Hung Anh					0	0.00	Son of Ms. Nguyen Thi Thu Hang

4.6	Bui Minh Quang					0	0.00	Son of Ms. Nguyen Thi Thu Hang
4.7	Bui Xuan Hung					0	0.00	Father-in-law of Ms. Nguyen Thi Thu Hang
4.8	Nguyen Bich Loi					0	0.00	Mother-in-law of Ms. Nguyen Thi Thu Hang
4.9	Tran Anh Thang					10,264,320	6.67	Brother-in-law of Ms. Nguyen Thi Thu Hang
5	Trinh Thi Lan		Deputy General Director, Authorized person to disclose information			4,940,730	3.21	Insider
5.1	Le Manh Hung					0	0.00	Husband of Ms. Trinh Thi Lan
5.2	Le Khoi Nguyen					0	0.00	Son of Ms. Trinh Thi Lan

5.3	Le Minh Quang					0	0.00	Son of Ms.Trinh Thi Lan
5.4	Trinh Trong Phinh					0	0.00	Father of Ms. Trinh Thi Lan
5.5	Luu Thi Tan					0	0.00	Mother of Ms. Trinh Thi Lan
5.6	Trinh Thi Nguyet					0	0.00	Sister of Ms. Trinh Thi Lan
5.7	Trinh Thi Minh					0	0.00	Sister of Ms. Trinh Thi Lan
5.8	Trinh Trong Khoa					0	0.00	Brother of Ms. Trinh Thi Lan
5.9	Nguyen Quang Trung					0	0.00	Brother-in-law of Ms. Trinh Thi Lan

5.10	Le Tien Dung					0	0.00	Brother-in-law of Ms. Trinh Thi Lan
5.11	Le Dinh Man					0	0.00	Father-in-law of Ms. Trinh Thi Lan
5.12	Pham Thi Duc					0	0.00	Mother-in-law of Ms. Trinh Thi Lan
6	Nguyen Tai Vinh		Deputy General Director			256,608	0.1667	Insider
6.1	Le Thi Thu Trang					5,516	0.0036	Wife of Mr. Nguyen Tai Vinh
6.2	Nguyen Tai Cuong					0	0.00	Father of Mr. Nguyen Tai Vinh
6.3	Dang Bich Nga					0	0.00	Mother of Mr. Nguyen Tai Vinh

6.4	Nguyen Thi Nhang					0	0.00	Mother-in-law of Mr. Nguyen Tai Vinh
6.5	Nguyen Tuong Vy					0	0.00	Sister of Mr. Nguyen Tai Vinh
6.6	Nguyen Tai Minh Huy							Son of Mr. Nguyen Tai Vinh
7	Tang Minh Vuong		Deputy General Director			0	0.00	Insider
7.1	Nguyen Thi Trang					0	0,00	Wife of Mr. Tang Minh Vuong
7.2	Tang Ba Nam					0	0,00	Father of Mr. Tang Minh Vuong
7.3	Nguyen Thi Cay					0	0,00	Mother of Mr. Tang Minh Vuong

7.4	Nguyen Van Son					0	0,00	Father-in-law of Mr. Tang Minh Vuong
7.5	Nguyen Thi Lan					0	0,00	Mother-in-law of Mr. Tang Minh Vuong
7.6	Tang Hai Linh					0	0,00	Daughter of Mr. Tang Minh Vuong
7.7	Tang Hai Anh					0	0,00	Daughter of Mr. Tang Minh Vuong
7.8	Tang Thi Thu Ha					0	0,00	Sister of Mr. Tang Minh Vuong
7.9	Tran Vinh Phuong					0	0,00	Brother-in-law of Mr. Tang Minh Vuong

7.10	Hai Ha Confectionery Joint Stock Company			Business Registration Certificate No. 0101444379, issued on January 20, 2004, by Hanoi Department of Planning and Investment	25-27 Truong Dinh Street, Tuong Mai Ward, Hanoi City	0	0,00	Mr. Tang Minh Vuong – Member of the BOD of Hai Ha Confectionery Joint Stock Company
7.11	Amber Fund Management Joint Stock Company			Establishment and Operation Certificate No. 39/GP-UBCK, issued on October 8, 2008, by The State Securities Commission	1 st Floor, VOV Building, 37 Ba Trieu, Cua Nam Ward, Hanoi			Mr. Tang Minh Vuong resigned from his position as a member of the BOD of Amber Fund Management Joint Stock Company as of May 28, 2026
8	Le Thi Thuy Dung		Chief Accountant			0	0.00	Insider
8.1	Le Huu Tu					0	0.00	Father of Ms. Le Thi Thuy Dung
8.2	Nguyen Thi Cuc					0	0.00	Mother of Ms. Le Thi Thuy Dung

8.3	Le Minh Vuong					0	0.00	Brother of Ms. Le Thi Thuy Dung
8.4	Le Quang Dat					0	0.00	Brother of Ms. Le Thi Thuy Dung
8.5	Tran Thi Mai Gia Han					0	0.00	Sister-in-law of Ms. Le Thi Thuy Dung

2. Transactions of insiders and affiliated persons with shares of the Company

None

Note: In the first 06 months of 2026, VFS distributed stock dividends to existing shareholders, including insiders and affiliated persons. The ending balance of holding shares are consistent with the figures stated above in Section VIII.1 The list of insiders and their affiliated persons.

IX. OTHER SIGNIFICANT ISSUES: None

CHAIRMAN OF THE BOARD OF DIRECTORS

(Sign, full name and seal)



NGHIEM PHUONG NHI