

**VIET FIRST SECURITIES
CORPORATION**

No: .../2026/TTr-HDQT-VFS

SOCIALIST REPUBLIC OF VIETNAM

Independence - Freedom - Happiness

Ho Chi Minh City,

DRAFT

PROPOSAL

(Re: approval of the policy on transactions and contracts between the company and related parties and the approval of material transactions and contracts)

**To: The 2026 Annual General Meeting of Shareholders
of Viet First Securities Corporation**

- Pursuant to the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020, and its amendments, supplements, and guiding documents;
- Pursuant to the Law on Securities No. 54/2019/QH14 dated November 26, 2019, and its amendments, supplements, and guiding documents;
- Pursuant to the Charter of Viet First Securities Corporation.

To proactively conduct business operations and ensure the achievement of the proposed plan, the Board of Directors hereby submits to the 2026 Annual General Meeting of Shareholders for approval of the policy on executing contracts and transactions during the 2026-2027 period as follows:

1. Approval for investment and asset sales transactions with a value of 35% or more of the total asset value recorded in the Company's latest financial statement.

1.1. Transaction details:

- a. Investments in bonds, certificates of deposit, and other financial instrument;
- b. Asset sales transactions;
- c. Other transactions in accordance with legal regulations.

1.2. Transaction counterparties: As specified in Appendix 01 attached.

2. Approval for the signing and implementation of contracts, loan transactions, and asset sales with a value exceeding 10% of the total asset value recorded on the latest financial statement between the company and shareholders owning 51% or more of the total number of voting shares or related persons of that shareholder

2.1. Transaction details:

- a. Lending;
- b. Asset sales transactions;
- c. Other transactions in accordance with legal regulations.

2.2. Transaction counterparties: Shareholders owning 51% or more of the total number of voting shares or related persons of that shareholder.

3. Approval for the execution of contracts and transactions between the Company and Related Parties with a value of 35% or more or transactions leading to a cumulative transaction value within 12 months (from the date of the first transaction after this Resolution takes effect) equal to or exceeding 35% or more of the total asset value recorded in the Company's latest financial statement.

3.1. Transactions with Related Parties include but are not limited to:

- a. Purchase and sale of goods and provision of services;
- b. Lending, borrowing, guarantees, pledges, and mortgages of assets for the purpose of optimizing cash flow and financial activities among parties;
- c. Investments in bonds, certificates of deposit, and other valuable papers;
- d. Other transactions in accordance with legal regulations.

3.2. Transaction counterparties:

- Related Parties participating in transactions include:
 - a. Shareholders, authorized representatives of institutional shareholders holding more than 10% of the Company's total ordinary shares, and their related persons;
 - b. Members of the Board of Directors, the Chief Executive Officer, other managers, and their related persons;
 - c. Enterprises for which members of the Board of Directors, the Chief Executive Officer, and other managers of the Company are required to declare in accordance with Clause 2, Article 164 of the Law on Enterprises 2020.
- The entities specified in Appendix 01 attached hereto shall be considered related parties provided that they meet the criteria set out in Section 3.2 hereof.

4. Principles for conducting transactions

- Comply with legal regulations and the Company's internal management system.
- Optimize the efficiency of capital resources and business operations of the Company.
- Ensure compliance with the specific conditions specified in Sections 1, 2, 3 of this Proposal.
- Control transaction risks while ensuring Company benefits.
- Comply with the reporting regime according to the law and internal regulations of the Company.

5. Implementation Period: From the effective date of the Resolution of the 2026 Annual General Meeting of Shareholders until the issuance of the Resolution of the 2027 Annual General Meeting of Shareholders.

6. Authorization to the Company's Board of Directors:

- Approve the transactions mentioned above in accordance with the policy adopted by the Annual General Meeting of Shareholders.

- Direct and organize the execution of the approved contracts and transactions. The Board of Directors shall have the authority to delegate and re-authorize in compliance with legal regulations and the Company's internal rules.

Respectfully submitted to the Annual General Meeting of Shareholders for consideration and approval!

Recipients:

- *As above;*
- *Board of Directors;*
- *Audit Committee;*
- *Archives: AD.*

**ON BEHALF OF THE BOARD OF DIRECTORS
CHAIRMAN**

NGHIEM PHUONG NHI

APPENDIX 01: PARTIES TO THE TRANSACTIONS

No.	Transaction Counterparty
1	Vietnam Technological and Commercial Joint Stock Bank
2	Vietnam Maritime Commercial Joint Stock Bank
3	Southeast Asia Commercial Joint Stock Bank
4	Military Commercial Joint Stock Bank
5	Vietnam Joint Stock Commercial Bank for Industry and Trade
6	EVN Finance Joint Stock Company
7	Bank for Investment and Development of Vietnam
8	Vietnam Bank for Agriculture and Rural Development
9	Joint Stock Commercial Bank for Foreign Trade of Vietnam
10	Vietnam Prosperity Joint Stock Commercial Bank
11	Vietnam International Commercial Joint Stock Bank
12	Vietnam Thuong Tin Commercial Joint Stock Bank
13	Orient Commercial Joint Stock Bank
14	Saigon Thuong Tin Commercial Joint Stock Bank
15	Asia Commercial Joint Stock Bank (ACB)
16	Bac A Commercial Joint Stock Bank
17	An Binh Commercial Joint Stock Bank
18	Ho Chi Minh City Development Commercial Joint Stock Bank
19	Viet Capital Commercial Joint Stock Bank
20	Vietnam Export Import Commercial Joint Stock Bank
21	Tien Phong Commercial Joint Stock Bank
22	Fortune Vietnam Joint Stock Commercial Bank
23	Saigon - Hanoi Commercial Joint Stock Bank
24	Kien Long Commercial Joint Stock Bank
25	Vietnam Asia Commercial Joint Stock Bank
26	National Citizen Commercial Joint Stock Bank
27	Saigon Bank for Industry and Trade
28	Nam A Commercial Joint Stock Bank
29	Global Petroleum Commercial Bank Limited
30	Prosperity and Growth Commercial Joint Stock Bank
31	Bao Viet Joint Stock Commercial Bank
32	Modern Bank of Vietnam Limited

No.	Transaction Counterparty
33	Woori Bank Vietnam Limited
34	ANZ Bank Vietnam Limited
35	HSBC Bank Vietnam Limited
36	Shinhan Bank Vietnam Limited
37	Standard Chartered Bank Vietnam Limited
38	Public Bank Vietnam Limited
39	CIMB Bank Vietnam Limited
40	Hong Leong Bank Vietnam Limited
41	United Overseas Bank Vietnam Limited
42	Indovina Bank Limited
43	Vpbank Smbc Finance Company Limited
44	MB Shinsei Consumer Credit Finance Limited Liability Company
45	Home Credit Vietnam Finance Company Limited